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Examiner: Unknown

Attorney Docket No. 5649-1162

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Sung-Yung Lee, et al.

Application Serial No.: 10/650,344

Filed: August 28, 2003

For:

SEMICONDUCTOR DEVICES HAVING CAPACITORS OF METAL-

INSULATOR-METAL STRUCTURE WITH COEXTENSIVE OXIDATION BARRIER PATTERN AND LOWER ELECTRODE BOTTOM AND METHODS

OF FORMING THE SAME

Date: May 25, 2004

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. § 1.97(b)

Sir:

Attached is a form PTO-1449, together with a copy of a Korean document. An English language Abstract of this document is also provided as a concise statement of the document. It is requested that this document be considered by the Examiner and officially made of record in accordance with the provisions of 37 C.F.R. § 1.56 and Section 609 of the MPEP.

This Information Disclosure Statement is submitted in accordance with 37 C.F.R. § 1.97(b) before the mailing of a first Office Action on the merits. Therefore, no fee is believed due. However, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 50-0220.

Respectfully submitted

Julie H. Richardson Registration No. 40,142

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May 25, 2004.

PAGE 12/21 * RCVD AT 5/27/2005 10:13:46 AM [Eastern Daylight Time] * SVR:USPTO-EFXRF-1/2 * DNIS:8729306 * CSID:919 854 1401 * DURATION (mm-ss):05-38

PTO/SB/06 (12-04)
Approved for use through 7/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for Form PTO-875											
APPLICATION AS FILED PART I (Column 1) (Column 2)							SMALL ENTITY		OR	OTHER SMALL	
FOR		NUMB	ER FILED	NUMBE	R EXTRA		RATE (\$)	FEE (\$)		RATE (\$)	FEE (\$)
BASIC FEE (37 CFR 1.16(a), (b), or (c))		=))									
SEARCH FEE (37 CFR 1.16(k), (i), or (m))		1))									
EXAMINATION FEE (37 CFR 1.16(o), (p), or (q))		a))									
TOTAL CLAIMS (37 CFR 1.16(i))			minus 20	= *			x =		OR	x =	
INDEPENDENT CLAIMS (37 CFR 1.16(h))		MS	minus 3	= •			x =			x =	
FEE	LICATION SIZE CFR 1.16(s))	sheets of is \$250 (\$ additiona	paper, the 125 for sn 150 sheets	and drawings e application sinall entity) for or fraction the G) and 37 CFF	ze fee due each ereof. See						
MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(j))											
* If the difference in column 1 is less than zero, enter "0" in column 2.							TOTAL		_	TOTAL	
APPLICATION AS AMENDED - PART II											
(Column 1) (Column 2) (Column 3)							SMALL ENTITY		OR	R OTHER THAN SMALL ENTITY	
AMENDMENT A	421/05	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	ADDI- TIONAL FEE (\$) /	<u> </u>	RATE (\$)	ADDI- TIONAL FEE (\$)
	Total (37 CFR 1.16(i))	.37	Minus	<u>35</u>	= /		x =		OR	x =	. /
	Independent (37 CFR 1.16(h))	· <u>5'</u>	Minus	<u>"5</u>	= /		x =		OR	x =	
AME	Application Size Fee (37 CFR 1.16(s))						/		-		/
	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))						TOTAL		OR	TOTAL	
							ADD L FEE		OR	ADD'L FEE	
		(Column 1)		(Column 2)	(Column 3)		<i>.</i>		7	/	
AMENDMENT B		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	ADDI- TIONAL FEE (\$)		RATE (\$)	ADDI- TIONAL FEE (\$)
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	Independent (37 CFR 1.16(h))	•	Minus	***	=		x ·=		OR	х =	
ME	Application Size Fee (37 CFR 1.16(s))					1]		
$\lfloor^{\checkmark} floor$	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))								OR		
						-	TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE	,
1 1	** If the "Highest I	olumn 1 is less tha Number Previously Number Previously	y Paid For I	N THIS SPACE	is less than 20,	, ent	r "3" .	the energyic	-		

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.